

**ANNEXURE I**

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity - **Bafna Pharmaceuticals Limited**  
 2. Quarter ending - **30-Sep-2021**

**i. Composition Of Board Of Director**

T i t l e ( M r . / M s )	Name of the Dir ect or	D I N	P A N	Cat ego ry (Ch airp ers on /Exe cutiv e/No n- Exec utive/ Inde pend ent/ Nomi nee)	S u b C a t e g o r y	Ini tia l D a t e of A p p o i n t m e n t	Dat e of App oint me nt	Dat e of ces sati on	T e n u r e	D a t e of B i r t h	Wh eth er spe cial res olut ion pas sed ?	D a t e of p a ss in g s p e c i a l r e s o l u t i o n	N o. of Di re ct or s h i p in lis te d e n t i t i e s in cl u d i n g th is lis te d e n t i t y	No of Inde pend ent Dire ct or sh ip in lis te d ent itie s incl udi ng this lis te d ent ity	No of me mb ers h i p s in Au dit/ Sta keh old er Co mm itte e(s) incl udi ng this lis te d ent ity	No of po st of Ch air pe rs on in Au dit/ St ak eh old er Co m mit te e hel d in lis te d ent itie s incl udi ng th is lis te d ent ity	Me mbe rsh ip in Co mm it tee s of the Co m pa ny	Rem arks
M s.	S. HE M AL AT HA	0 2 7 1 4 3	A A W P H 3 4	C & ED		10- Sep - 202 0	10- Sep- 2020			1 9- A pr - 1 9	NA		1	0	1	0	SC	

		29	52M						75								
M r.	BA BU LA L KA ML ES H KU M AR	01 2 1 8 9 5 9	A A D P K 2 1 9 6 G	ID		08- Feb - 201 9	08- Feb- 2019		60	13- Ju n- 19 6 8	NA		1	1	1	0	AC,N RC
M r.	PA LA M AD AI KR IS HN AN SU ND AR ES AN	06 9 5 4 1 8 9 9	A IZ P S 7 1 1 8 9 9 D	ID		25- Jun - 202 0	25- Jun- 2020		60	20- M ay - 19 5 6	NA		1	1	1	1	AC,N RC
M rs .	AK IL AP RI YA CH AN DR AS HE KA R RA JU	07 5 9 0 3 1 2	A R N P R 7 9 7 5 B	NED		10- Sep - 202 0	10- Sep- 2020			10- O ct - 19 8 7	NA		1	0	0	0	NRC
M r.	AT UL SA CH DE VA	07 6 4 5 1 3 0	A Y K P S 1 7 5	NED		12- No v- 202 0	12- Nov- 2020			22- N o v- 19	NA		1	0	2	0	AC,SC

			0						8								
			P						4								
M	RA	0	A	ID		12-	12-		2	NA		1	1	1	1	SC	
rs	VI	7	A			No	Nov-		5-								
.	CH	7	F			v-	202		A								
	AN	4	P			0	0		pr								
	DR	9	C						-								
	AN	1	1						1								
	CH	2	7						9								
	IT	5	4						6								
	RA		1						1								
			D														

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

## ii. Composition of Committees

### a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	PALAMADAI KRISHNAN SUNDARESAN	ID	Chairperson	25-Jun-2020	
2	BABULAL KAMLESH KUMAR	ID	Member	08-Feb-2019	
3	ATUL SACHDEVA	NED	Member	12-Nov-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

### b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	RAVICHANDRAN CHITRA	ID	Chairperson	12-Nov-2020	
2	S. HEMALATHA	C & ED	Member	10-Sep-2020	
3	ATUL SACHDEVA	NED	Member	12-Nov-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

### c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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Company Remarks	
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Whether Permanent chairperson appointed	
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**d. Nomination and Remuneration Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	BABULAL KAMLESH KUMAR	ID	Chairperson	08-Feb-2019	
2	PALAMADAI KRISHNAN SUNDARESAN	ID	Member	25-Jun-2020	
3	AKILAPRIYA CHANDRASHEKAR RAJU	NED	Member	10-Sep-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**iii. Meeting of Board of Directors**

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
25-Jun-2021	05-Aug-2021	Yes	2	3

Company Remarks	
Maximum gap between any two consecutive (in number of days)	40

**iv. Meeting of Committees**

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	24-Jun-2021		Yes	1	2
Audit Committee		04-Aug-2021	Yes	1	2
Nomination & Remuneration Committee	24-Jun-2021		Yes	1	2

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	40

**v. Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Not Applicable	

Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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## VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5.
  - a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
  - b. Any comments/observations/advice of Board of Directors may be mentioned here:

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**Name** : **JITENDRA KUMAR PAL**  
**Designation** : **Company Secretary**

## ANNEXURE III

### Affirmations

<b>Broad Heading</b>	<b>Regulation Number</b>	<b>Compliance Status</b>	<b>Company Remark</b>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	

Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

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**Name** : **JITENDRA KUMAR PAL**  
**Designation** : **Company Secretary**

**ANNEXURE IV**

%symbol%	%companyName%	%quarterEnded%
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**(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to**

<b>Aggregate amount advanced during six months</b>	<b>Balance outstanding at the end of six months</b>
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<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>
0	0	0	0	0	0	0	0

**(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

<b>Entity</b>	<b>Type (guarantee, comfort letter etc.)</b>	<b>Aggregate amount of issuance during six months</b>	<b>Balance outstanding at the end of six months (taking into account any invocation)</b>
<i>Promoter or any other entity controlled by them</i>	0	0	0
<i>Promoter Group or any other entity controlled by them</i>	0	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	0	0	0
<i>KMPs or any other entity controlled by them</i>	0	0	0

**(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

<b>Entity</b>	<b>Type of Security (cash, shares etc.)</b>	<b>Aggregate value of security provided during six months</b>	<b>Balance outstanding at the end of six months</b>
<i>Promoter or any other entity</i>	0	0	0

<i>controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>	0	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	0	0	0
<i>KMPs or any other entity controlled by them</i>	0	0	0

**(D) If the Listed Entity would like to provide any other information the same may be indicated here**

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<b>Affirmations</b>
<i>All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company</i>

<b>Company Remarks in case of non-compliant status</b>	
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<p><b>Name:</b> MELAGIRI SRIDHAR  <b>Designation:</b> Chief Financial Officer  <b>Place:</b> CHENNAI  <b>Date:</b> 18-Oct-2021</p>
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